



1646 \$
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Rauch and Henning Walczak

Serial No.: 09/378,045

Group Art Unit No.: 1646

Filed: August 20, 1999

Examiner: Nirmal Basi

For: RECEPTOR THAT BINDS TRAIL

Docket No.: 2625-E

INFORMATION DISCLOSURE STATEMENT
BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, applicants submit a "List of References Cited by Applicant" on a modified PTO-1449 form.

References A1-A13, B1-B7, C1-C3, C6-C8, and C10- are provided for consideration by the Examiner.

In accordance with 37 CFR 1.98(d), copies of the patents, publications, pending U.S. applications, or other information listed in this information disclosure statement (C14 A-14-A23, B8-B16, and C4, C5 and C9) are not required to be provided and are not enclosed as they were previously cited by or submitted to the Office in prior application Serial No. 08/883,036. The present application is a continuation-in-part of this prior application which is relied upon for an earlier filing date under 35 USC 120 and the information disclosure statement submitted in the earlier application complies with 37 CFR 1.98(a)-(c).

This Information Disclosure Statement is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last, but **before** the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311, whichever occurs first.

STATEMENT OR FEE

Accompanying this transmittal is:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date appearing below.

May 7, 2004
Date

Elizabeth M. McCarthy
Elizabeth M. McCarthy

05/11/2004 SSANDARA 00000005 030089 09378045

01 FC:1806 180.00 DA

- A ☐ a statement as specified in 37 CFR 1.97(e):

STATEMENT

I, the person(s) signing below state:

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR 1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 CFR 1.97(e)(2).

OR

- B. ☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Applicants request consideration of this information and passage of the application to issue.

FEE PAYMENT

Please charge Deposit Account No. 09-0089 in the amount of \$180.00 (37 CFR 1.97(c)). An original and one copy are enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 09-0089.

Respectfully submitted,



David B. Ran
Attorney for Applicants
Registration No.: 38,589
Phone: (206) 265-7309
Date: May 7, 2004

Please send all future correspondence to:

Immunex Corporation/DBR
Law Department
1201 Amgen Court West
Seattle, Washington 98119-3105
(206) 265-7000

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

2625-E

SERIAL NO.

09/378,045

INFORMATION DISCLOSURE CITATION

APPLICANT

Charles Rauch and Henning Walczak

FILING DATE

August 20, 1999

GROUP

1646

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	USSN 60/035,722		Gentz et al.			01/28/97
	A2	USSN 60/041,230		Deen et al.			03/14/97
	A3	USSN 60/040,846		Ni et al.			03/17/97
	A4	USSN 08/843,652		Holtzman, D.			04/16/97
	A5	USSN 08/853,684		Deen et al.			05/09/97
	A6	USSN 08/857,216		Ashkenazi, A.			05/15/97
	A7	USSN 60/054,021		Ni et al.			07/29/97
	A8	USSN 60/055,906		Alnemri, E.			08/15/97
	A9	USSN 08/916,625		Deen et al.			08/22/97
	A10	USSN 60/058,631		Tschopp, J.			09/12/97
	A11	USSN 09/020,746		Ashkenazi et al.			02/09/98
	A12	USSN 60/084,422		Tschopp et al.			05/06/98
	A13	5,763,223	06/09/98	Wiley et al.			
	A14	2001/0010924 A1	08/02/01	Deen et al.			
	A15	6,313,269 B1	11/06/01	Deen et al.			
	A16	6,342,369 B1	01/29/02	Ashkenazi			
	A17	2002/0048785 A1	04/25/02	Holtzman			
	A18	2002/0072091 A1	06/13/02	Ni et al.			
	A19	6,417,328 B2	07/09/02	Alnemri			
	A20	2002/0098550 A1	07/25/02	Ni et al.			
	A21	2002/0150985 A1	10/17/02	Adams et al.			
	A22	2002/0161202 A1	10/31/02	Ashkenazi			
	A23	2003/0017161 A1	01/23/03	Ashkenazi			

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	B1	EP 870,827	10/14/98	EPO				
	B2	WO 98/41629	09/24/98	WIPO				
	B3	WO 98/46643	10/22/98	WIPO				
	B4	WO 98/51793	11/19/98	WIPO				
	B5	WO 99/09165	02/25/99	WIPO				
	B6	WO 99/12963	03/18/99	WIPO				
	B7	WO 98/32856	07/30/98	WIPO				
	B8	WO 99/02653	01/21/99	WIPO				
✓	B9	WO 99/13078	03/18/99	WIPO				
✓	B10	WO 02/53727	07/11/02	WIPO				
✓	B11	WO 01/19861	03/22/01	WIPO				
✓	B12	WO 98/58062	12/23/98	WIPO				
✓	B13	WO 99/64461	12/16/99	WIPO				
✓	B14	WO 99/11791	03/11/99	WIPO				
✓	B15	WO 00/66156	11/09/00	WIPO				
✓	B16	WO 01/83560	11/08/01	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C1	Berger et al., "Guide to molecular cloning techniques, methods in enzymology," Academic Press, Inc., 152:661-663, 1987.
	C2	Bowie et al., "Deciphering the message in protein sequences: Tolerance to Amino Acid Substitutions," <i>Science</i> , 247:1306-1310, 1990.
✓	C3	Cross et al., "Purification of CpG Islands using a methylated DNA binding column," <i>Nature Genetics</i> , 6:236-244, 1994.
	C4	Database record for Accession No. AA223122, "zr06g05.rl, Stratagene NT2 Neuronal Precursor 937230 Homo Sapiens cDNA Clone 650744 5' mRNA Sequence," Submitted by R.K. Wilson Feb. 19, 1997.
	C5	Databank record for GenBank Accession No. AA232440; submitted Feb. 28, 1997; released March 13, 1998. Submitted by R. K. Wilson.

EXAMINER

DATE CONSIDERED

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